



Prudential – Investment, Insurance Intermediation and Banking Rules (PRU)

*In this attachment underlining indicates new text and striking through indicates deleted text

2 GENERAL REQUIREMENTS

...

- 2.3.8** (1) When a return which is required under these Rules is ~~not~~ submitted ~~on or before~~ after the due date ~~or within the prescribed period~~, such non-submission incurs an administrative fee of ~~\$1,000~~ in accordance with FEES Rule 4.4.
- (2) Nothing in this Rule limits the right of the Regulator to take any other action.

Guidance

If a return is not submitted by the date on which it becomes due, the Person is in breach of a Rule and the Regulator is entitled to take action including, but not limited to, taking steps to withdraw authorisation to conduct Regulated Activities.

...