



## **Fees Rules (FEES)**

\*In this attachment underlining indicates new text and striking through indicates deleted text.

**3. SPECIFIC AUTHORISATION AND SUPERVISION FEES**

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**3.17 OTC Leveraged Products**

3.17.1 An Applicant for a Financial Services Permission to conduct a Regulated Activity in relation to OTC Leveraged Products must pay to the Regulator an initial authorisation fee of (as applicable):

(a) \$40,000 where those OTC Leveraged Products are to be offered to Retail Clients; or

(b) \$5,000 otherwise.

3.17.2 An Authorised Person conducting a Regulated Activity in relation to OTC Leveraged Products must pay to the Regulator an annual supervision fee of (as applicable):

(a) \$40,000 where those OTC Leveraged Products are to be offered to Retail Clients; or

(b) \$5,000 otherwise.

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