



ABU DHABI GLOBAL MARKET  
سوق أبوظبي العالمي

<b>Public Register – Alpen Capital (ME) Limited</b>	
<i>Date of Financial Services Permission:</i>	19 <sup>th</sup> October 2016
<i>Legal Status:</i>	Branch of a Foreign Company
<i>Financial Services Permission Number:</i>	160013
<i>Address:</i>	3422, 34th Floor, Al Maqam Tower, ADGM Square, Al Maryah Island, Abu Dhabi, United Arab Emirates
<i>Telephone Number:</i>	+971 04 363 4306
<i>Email Address:</i>	t.lakshmanan@alpencapital.com
<b>Regulated Activity</b>	
	Advising on Investments or Credit Arranging Deals in Investments Arranging Credit
<b>Conditions</b>	
<i>The company is not permitted to:</i> <ul style="list-style-type: none"><li>• Deal with Retail Clients; and</li><li>• Hold or control Client Money or Client Investments.</li></ul>	
<b>Approved Persons:</b>	<b>Effective Date:</b>
<i>Senior Executive Officer:</i> <b>Malak</b> , Mr Wael Abdul	19 October 2016
<i>Licensed Director:</i> <b>Walia</b> , Mr Rohit	19 October 2016

**FINANCIAL SERVICES REGULATORY AUTHORITY**  
سلطة تنظيم الخدمات المالية

Abu Dhabi Global Market Square Al Maryah Island PO Box 111999 Abu Dhabi United Arab Emirates T +971 2 333 8888  
مربعة سوق أبوظبي العالمي جزيرة الماريه ص ب 111999 أبوظبي الإمارات العربية المتحدة هاتف +971 2 333 8888