



ABU DHABI GLOBAL MARKET
سوق أبوظبي العالمي

Public Register – Northern Trust Global Services SE:

<i>Date of Financial Services Permission:</i>	2 March 2017
<i>Legal Status:</i>	Branch of Foreign Limited Liability Partnership
<i>Financial Services Permission Number:</i>	160014
<i>Address:</i>	7th floor, Al Sila Tower, Abu Dhabi Global Market Square, Al Maryah Island, Abu Dhabi, United Arab Emirates
<i>Telephone Number:</i>	+971 2 694 1001
<i>Email Address:</i>	ed18@ntrs.com

Regulated Activity

	Arranging Deals in Investments; Arranging Custody; and Arranging Credit.
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Conditions

The company is not permitted to:

- Deal with Retail Clients; and
- Hold, control or accept Client Money or Client Investments.

Approved Persons:

		Effective Date:
Senior Executive Officer	D'Mello, Mr. Edgar Joseph	01 February 2018
Co-Senior Executive Officer	Slater, Mr. Michael	04 April 2018
Senior Executive Officer	Frazer, Mr. Robert Hugh (Withdrawn)	07 March 2018